



Burford Capital (UK) Limited Counsel, Legal & Compliance London, United Kingdom

Burford Capital is the leading global finance and asset management firm focused on law. Its businesses include litigation finance and risk management, asset recovery and a wide range of legal finance and advisory activities. Burford is publicly traded on the New York Stock Exchange (NYSE: BUR) and the London Stock Exchange (LSE: BUR), and it works with companies and law firms around the world from its principal offices in New York, London, Chicago, Washington, Singapore and Sydney.

Burford seeks a Counsel, Legal & Compliance for the UK, Continental Europe and Australasia. The Counsel will be responsible for managing the Firm's Compliance Programme in these jurisdictions, where it counts among its growing businesses an FCA regulated UK operating company, UK and Guernsey after-the-event insurers, a London-based asset-tracing and corporate intelligence team and an SRA-regulated "ABS" law firm.

The role will be based in Burford's London office.

www.burfordcapital.com

COMPANY OVERVIEW

Burford Capital is the largest and most experienced provider of commercial finance to the legal sector in the world, with a core expertise in identifying and optimizing the value of legal assets for companies and law firms. Since its founding in 2009, Burford has worked with hundreds of law firms and corporations, including 93 of the AmLaw 100 and 89 of the Global 100 largest law firms. Our team has grown from five people at the end of 2009 to over 130 people today, including some 60 lawyers.

Burford possesses the resources and expertise of a large company but retains the flexibility and creativity of a startup. Team members are smart, ambitious, collaborative, curious and confident. Everyone rolls up their sleeves to perform and engage collectively for the overall success of the business. Burford values rigorous thinking, clear communication and efficient execution.

Burford pays base salaries consistent with the financial services industry and favours incentive compensation to reward performance. Burford Capital is committed to increasing diversity and maintaining an inclusive workplace culture. We welcome applications from all qualified candidates regardless of their ethnicity, race, gender, religious beliefs, sexual orientation, age, marital status, whether or not they have a disability.

POSITION REQUIREMENTS

General

- Provision of advice concerning regulatory and operational matters and internal policies, whether in a structured context or *ad hoc*.
- Managing the periodic review and maintenance of Compliance and Operations policies, procedures and risk assessments. Providing specialised training, as appropriate.
- Working closely with the Chief Compliance Officer on policy maintenance and regulatory initiatives.
- Acting as the principal liaison officer for relevant regulatory authorities, including the UK Financial Conduct Authority (FCA), the UK's Solicitors Regulation Authority (SRA), Guernsey Financial Services Commission (GFSC) and the Association of Litigation Funders of England & Wales (ALF).
- Enhancing governance by providing insights and practical solutions as well as credible challenges to bolster the ethical control culture and conduct risk environment. Timely reporting of significant local regulatory and operational issues to global stakeholders.
- Assist with compliance with London Stock Exchange AIM Rules and PDMR notifications.
- Assisting with local employment law matters, as appropriate.
- Anti-Money Laundering: Providing strategic direction, oversight, coordination and cooperation in respect of the Firm's Anti-Money Laundering Programme. Serving as Anti-Money Laundering Officer (MLRO).
- Further Programmes include: Global Sanctions Screening, Anti Bribery and Corruption, Privacy and Information Compliance (including GDPR), Third Party Management and Outsourcing, Employee Activities, Ethics and Compliance Monitoring, and Subsidiary Governance.
- Responsible for the relationship with the Company's Transfer Agent.
- Assist with public company matters including the proxy, notice of meeting, the annual general meeting, and the dividend.

Regulatory Developments & Regulatory Impact Analysis

- Regulatory horizon scanning for relevant developments, utilising a range of sources such as regulator publications, law firm publications, as well as general market watch activities.
- Collaborating with the Chief Compliance Officer to maintain visibility of regulatory developments and implementations in the US, particularly those likely to have cross-border impacts.
- Analysing the impacts of regulatory change on business and operational processes, policies and control frameworks, in close collaboration with the Chief Compliance Officer, subject matter experts and business representatives.

SKILLS DESIRED

Qualifications & Experience

- Admission as a Solicitor in England & Wales or in another jurisdiction of significance and relevance.
- At least three to five years' experience in a "Big 4" financial regulation environment, a major financial institution's Compliance or Legal department or, alternatively, the Compliance or Legal department of a leading international law firm.

Core Competencies & Skills / Personal Attributes & Fit

- Sound knowledge and appreciation of regulatory frameworks, compliance requirements and financial industry developments in the UK (and, preferably, other relevant jurisdictions).
- A demonstrable interest in policy and regulatory developments.
- An ability to conduct research-style work in analysing regulatory requirements, as demonstrated through prior experience.
- A self-motivated team-player with a strong work ethic and the desire to deliver to high standards, that takes responsibility and is accountable for her/his own actions.
- Strong ability to produce sophisticated reports for senior management.
- Excellent communication skills (both oral and written).
- Ability appropriately to prioritise tasks and to escalate escalation of issues or concerns in a timely manner.
- A professional qualification in Compliance, Finance or Accounting will also be of benefit.

SUMMARY

The Counsel will come on board to manage Burford's Compliance Programme in the UK, Continental Europe and Australasia at one of the Firm's most exciting times in its history. The candidate will be a low-ego leader who is more about results and teamwork than one who seeks the limelight. She/he will possess the gravitas, credentials, and operational experience to be able to study, diagnose, and prescribe value-add solutions that will positively affect team performance. Given that Burford's business is located at the unique intersection of finance and law, it is an excellent opportunity for a savvy lawyer seeking an "outside the box" position to enjoy applying her/his ingenuity and creativity to solving team management challenges.