



Burford Capital Limited
Corporate Counsel, Securities
New York or Chicago, USA

Burford Capital is the leading global finance and asset management firm focused on law. Its businesses include litigation finance and risk management, asset recovery and a wide range of legal finance and advisory activities. Burford is a Guernsey company publicly traded on the New York Stock Exchange (NYSE: BUR) and the London Stock Exchange (LSE: BUR). It works with companies and law firms around the world from its principal offices in New York, London, Chicago, Washington, Singapore and Sydney.

Burford seeks a Corporate Counsel, Securities who will be responsible primarily for overseeing the Firm's US securities law compliance and disclosure obligations and the requirements of its New York Stock Exchange listing, as well as related matters. Currently, the Firm qualifies as a "foreign private issuer" and the related exemptions from the full level of securities law compliance. It anticipates the full application of these securities law rules and regulations and a transition to US GAAP reporting from IFRS.

Burford is also subject to the requirements of the LSE, UK Market Abuse Regulation (MAR) and Financial Conduct Authority (FCA). A key component of the role is maintaining and establishing governance processes to support the various disclosure and compliance obligations.

This is an opportunity for a smart and motivated person to carve out a unique and valued role within the Legal & Compliance team, which has a need for an expert to work hand-in-hand with our finance, investor relations, HR and operations teams. The successful candidate for this new position will become the 11th member of Burford's global Legal & Compliance team, which spans the New York, Chicago and London offices.

The role will be based in Burford's New York or Chicago office.

www.burfordcapital.com

COMPANY OVERVIEW

Burford Capital is the largest and most experienced provider of commercial finance to the legal sector in the world, with a core expertise in identifying and optimizing the value of legal assets for companies and law firms. Since its founding in 2009, Burford has worked with hundreds of law firms and corporations, including 93 of the AmLaw 100 and 89 of the Global 100 largest law firms. Our team has grown from five people at the end of 2009 to over 130 people today, including some 60 lawyers.

Burford possesses the resources and expertise of a large company while retaining the flexibility and creativity of a startup. Team members are smart, creative, collaborative, curious, and confident. Everyone rolls up their sleeves to perform and engage collectively for the overall success of the business. Burford values rigorous thinking, clear communication, and efficient execution.

Burford pays base salaries consistent with the financial services industry and favors incentive compensation to reward performance. Burford provides competitive health care benefits and a 401k matching program. Burford Capital is committed to increasing diversity and maintaining an inclusive workplace culture. We welcome applications from all qualified candidates regardless of their ethnicity, race, gender, religious beliefs, sexual orientation, age, marital status, whether or not they have a disability.

POSITION REQUIREMENTS

Securities Law Compliance and NYSE Listing Obligations

- Primary responsibility for compliance with US securities law and NYSE listed company requirements, including financial statements, governance and annual meeting requirements
- Advise senior management, finance teams, investor relations group, SOX and operations on US securities law and NYSE obligations, and their intersection with UK and Guernsey legal and disclosure obligations
- Prepare, review and advise on financial statements, SEC submissions, press releases, investor relations presentations and internal and external communications with a view to legal requirements while telling the company's story
- Primary liaison with the NYSE
- Advise on executive compensation programs with a view to securities law compliance.
- Assist with the preparations for transition to full SEC reporting requirements—Form 10-K/Q, Sections 16 reporting, executive compensation disclosure and NYSE governance policies and other requirements
- Coordinate disclosure compliance responses to US, UK, and Guernsey legal and regulatory regimes
- Assist with ESG endeavors
- Provide ad hoc advice on legal, regulatory and compliance matters

SKILLS DESIRED

Qualifications & Experience

- At least 6 years of corporate and securities legal experience, including at least 4 years at a major law firm, in-house experience a plus
- Top academic credentials with demonstrated career progression
- Strong background in US securities law and NYSE rules with both in-house and law firm experience advising finance, Investor relations, executive and operational teams. Familiarity with UK and LSE rules a plus
- Understand accounting and corporate finance, financially literate and results oriented
- JD licensed in Illinois or New York

Core Competencies & Skills / Personal Attributes & Fit

- Superior writing and analytical ability
- Excellent interpersonal/people skills, including the ability to build relationships with highly sophisticated internal clients and to interact effectively at all levels of the organization
- Judgment and self-confidence, but also humility, open-mindedness, respect for others (regardless of position), and enthusiasm for working as a team member
- Excellent problem-solving, organizational and communication skills -- necessary to negotiate and interface with a myriad of internal and external contacts
- Highly organized, with committed work ethic and strong attention to detail; able to work independently and prioritize and manage multiple projects and deadlines simultaneously
- Enterprising, intelligent, creative and proactive, and willing and eager to learn

SUMMARY

The Corporate Counsel will be a valued member of our global Legal & Compliance team and will play an important role collaborating with our senior management, finance, IR and operations teams on all matters that touch securities law compliance and disclosures. The person in this position will get to work with Burford team members from our offices across the globe. It is imperative that this individual have a creative business mindset, excellent professional judgment, flexibility and close attention to detail regarding documentation, as well as the ability to prioritize and multi-task in our fast-paced environment.

This position is an active lawyering role. Although there will be instances of supervising outside counsel, in the main the job is hands-on legal work. The Corporate Counsel will be low-ego, focused on results and teamwork and able to drive projects independently to completion. He/she will possess the gravitas, credentials, financial acumen, and experience to quickly understand the economic and legal dynamics of our business and compliance mindset. As Burford moves from a foreign private issuer to being subject to the full panoply of securities laws and NYSE requirements and grows and expands into new complex business areas and more global jurisdictions, this is a great opportunity for a skilled corporate securities lawyer to guide the company along this path.